

Conformal Methods in General Relativity

Tim-Torben Paetz

University of Vienna

8th International Meeting on Lorentzian Geometry,
Málaga, September 22, 2016

- 1 Penrose's conformal boundary & Friedrich's conformal field equations
- 2 Asymptotically de Sitter-like spacetimes
- 3 Asymptotically Minkowski-like spacetimes
- 4 Spatial infinity

Penrose's conformal boundary

Einstein's vacuum field equations with cosmological constant Λ (in this talk $\Lambda \geq 0$)

$$\text{Ric}[\tilde{g}] = \Lambda \tilde{g}.$$

- A main aspect of research: construction of physically relevant solutions
- Important notion: “asymptotic flatness” and “asymptotic de Sitterness”
- Delicate issue due to the absence of non-dynamical background fields

Elegant geometric approach due to Penrose [Penrose '63, '65]:

Assume that, after an appropriate conformal rescaling, one can attach a conformal boundary \mathcal{I} to the spacetime through which the rescaled metric admits a smooth extension (“smooth conformal compactification at infinity”)

Physical picture

This is possible whenever the gravitational field has an asymptotically Minkowski-(or de Sitter)-like fall-off behavior.

Asymptotic Simplicity

Definition

A smooth spacetime $(\tilde{\mathcal{M}}, \tilde{g})$ is called **asymptotically simple** if there exists a **smooth** spacetime (\mathcal{M}, g) and a smooth function $\Theta : \mathcal{M} \rightarrow \mathbb{R}$ such that

- (i) \mathcal{M} is a manifold with boundary $\mathcal{I} = \partial\mathcal{M}$,
- (ii) $\Theta > 0$ on $\mathcal{M} \setminus \mathcal{I}$ and $\Theta = 0$ with $d\Theta \neq 0$ on \mathcal{I} ,
- (iii) \exists an embedding $\phi : \tilde{\mathcal{M}} \rightarrow \mathcal{M}$ such that $\phi(\tilde{\mathcal{M}}) = \mathcal{M} \setminus \mathcal{I}$ and $\phi^*(\Theta^{-2}g) = \tilde{g}$,
- (iv) each inextendable null geodesic in $(\tilde{\mathcal{M}}, \tilde{g})$ acquires two distinct endpoints on \mathcal{I} .

- \mathcal{I} provides a representation of **(null) infinity**.
- \mathcal{I} consists of two disjoint components \mathcal{I}^+ and \mathcal{I}^- , **future and past (null) infinity**.
- To model e.g. an isolated body with sufficiently weak gravitational field so that no collapse to a black hole etc. occurs.

Let $(\tilde{\mathcal{M}}, \tilde{g})$ be an asymptotically simple vacuum spacetime with $\Lambda = 0$. Then \mathcal{I}^+ and \mathcal{I}^- are both topologically $\mathbb{R} \times S^2$, while $\tilde{\mathcal{M}}$ is topologically \mathbb{R}^4 [Geroch 1971], [Hawking & Ellis 1973].

Asymptotic Simplicity

One may think of various variations of this definition:

- weaken smoothness requirement
- weaken completeness condition

Definition

$(\widetilde{\mathcal{M}}, \widetilde{g})$ is called **weakly asymptotically simple** if its asymptotic region is diffeomorphic to an asymptotically simple spacetime.

According to Penrose, isolated systems, should be described by weakly asymptotically simple spacetimes.

Properties of a smooth conformal boundary \mathcal{I} (in vacuum)

- **null hypersurface** if $\Lambda = 0$
- **spacelike hypersurface** if $\Lambda > 0$
- **conformal Weyl tensor** vanishes at \mathcal{I} (supposing that, for $\Lambda = 0$, $\mathcal{I} \cong \mathbb{R} \times S^2$)
- **Killing vector fields** are tangential to \mathcal{I}

Asymptotic Simplicity

Advantages

- Problems on unbounded domains can be reformulated in terms of bounded domains
 \hookrightarrow easier to analyze from a PDE point of view.
- Asymptotic behavior of the gravitational field can be analyzed in terms of a local problem
 $(\hookrightarrow$ **asymptotic Cauchy problem**)

Issue: “Size” of the class of (weakly) asymptotically simple spacetimes

- Compatibility of Penrose's geometric concept and Einstein's field equations
- Smooth \mathcal{I} versus polyhomogeneous \mathcal{I}
- How can such spacetimes be constructed?

Friedrich's conformal field equations

Need equations in (\mathcal{M}, g) :

Introduce Θ as a conformal gauge freedom and solve $\text{Ric}[\Theta^{-2}g] = \Lambda\Theta^{-2}g$.

Issue: Equations are singular at \mathcal{I} .

Use the **conformal field equations (CFE)** [Friedrich '81]:

- Instead of Θ regard the **curvature scalar** $R[g]$ as a conformal gauge freedom and treat Θ as an unknown.
- Regard the **Schouten tensor** L , the **rescaled Weyl tensor** $W := \Theta^{-1}\text{Weyl}$ and the **scalar** $s := \frac{1}{4}\square_g\Theta + \frac{1}{24}R\Theta$ as independent of g and Θ .
- The conformal field equations for (g, Θ, L, W, s) read

$$\begin{aligned} \nabla_\rho W_{\mu\nu\sigma}{}^\rho &= 0, \\ \nabla_\mu L_{\nu\sigma} - \nabla_\nu L_{\mu\sigma} &= \nabla_\rho \Theta W_{\nu\mu\sigma}{}^\rho, \\ \nabla_\mu \nabla_\nu \Theta &= -\Theta L_{\mu\nu} + s g_{\mu\nu}, \\ \nabla_\mu s &= -L_{\mu\nu} \nabla^\nu \Theta, \\ 2\Theta s - \nabla_\mu \Theta \nabla^\mu \Theta &= \Lambda/3, \\ R_{\mu\nu\sigma}{}^\kappa[g] &= \Theta W_{\mu\nu\sigma}{}^\kappa + 2(g_{\sigma[\mu} L_{\nu]}{}^\kappa - \delta_{[\mu}{}^\kappa L_{\nu]}\sigma). \end{aligned}$$

- There are alternative versions which introduce additional gauge degrees of freedom.

Friedrich's conformal field equations

Properties:

- Remain regular at $\{\Theta = 0\}$.
- Equivalent to the vacuum equations where $\Theta \neq 0$.
- Split into a **symmetric hyperbolic system** of evolution equations and a set of **constraint equations**.

In this talk:

Construction of $\Lambda \geq 0$ -vacuum spacetimes which admit a smooth conformal compactification at infinity by constructing (\mathcal{M}, g, Θ) directly via the CFE.

Some crucial results can be established just by using standard results on symmetric hyperbolic systems (such as local existence or Cauchy stability).

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Conformal compactification of de Sitter

Assumption: $\Lambda > 0$.

De Sitter spacetime is asymptotically simple.

In global coordinates the de Sitter line element reads (on $\mathbb{R} \times S^3$)

$$\tilde{g}_{\text{dS}} = -d\tau^2 + \frac{3}{\Lambda} \cosh^2 \left(\sqrt{\frac{\Lambda}{3}} \tau \right) d\Omega_3, \quad \tau \in \mathbb{R}.$$

Application of the coordinate transformation $\tau \mapsto t := 2 \arctan \left[\tanh \left(\frac{1}{2} \sqrt{\frac{\Lambda}{3}} \tau \right) \right]$ yields

$$\tilde{g}_{\text{dS}} = \frac{3}{\Lambda} \frac{1}{\cos^2 t} \left(-dt^2 + d\Omega_3 \right), \quad t \in (-\pi/2, \pi/2).$$

Set $\Theta = \sqrt{\frac{\Lambda}{3}} \cos t$. Then

$$g_{\text{dS}} = \Theta^2 \tilde{g}_{\text{dS}} = -dt^2 + d\Omega_3 \quad \text{on } [-\pi/2, \pi/2] \times S^3,$$

with attached conformal boundary $\mathcal{I}^\pm = \{\pm\pi/2\} \times S^3$.

Asymptotic Cauchy problem

Construction of $\Lambda > 0$ -vacuum spacetimes which admit a smooth \mathcal{I}^- :

- Regard \mathcal{I}^- as initial surface \rightsquigarrow Cauchy problem in (\mathcal{M}, g) for the CFEs
- **Asymptotic Cauchy data**: Riemannian 3-manifold (Σ, h) and a symmetric 2-tensor D subject to the following **constraints**

$$\text{tr } D = 0, \quad \text{div } D = 0.$$

Theorem (Friedrich, 1986)

Consider asymptotic Cauchy data (Σ, h, D) . Then there exists a unique solution (\mathcal{M}, g) of the CFE such that in the emerging spacetime: $\mathcal{I}^- \cong \Sigma$, the induced metric on \mathcal{I}^- can be identified with h and $W(n, \cdot, n, \cdot) = D$, where n is a unit future normal to \mathcal{I}^- .

- Permits the construction of semi-global solutions to Einsteins field equations with positive Λ .
- Asymptotic de Sitter data: $(\Sigma, h) = (S^3, d\Omega_3)$ and $D = 0$.

Stability

Theorem (Friedrich, 1986, 1991)

Let $(\widetilde{\mathcal{M}}, \widetilde{g})$ be an asymptotically simple $\Lambda > 0$ -vacuum spacetime. Then $(\widetilde{\mathcal{M}}, \widetilde{g})$ is stable.

- As Cauchy surface one may take either \mathcal{I}^- or any non-asymptotic Cauchy surface.
- The perturbed spacetimes are asymptotically simple.
- Situation much more involved in the case of e.g. Schwarzschild- or Kerr-de Sitter.

Conclusion

Asymptotic simplicity seems to be a reasonable concept for $\Lambda > 0$.

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Conformal compactification of Minkowski

Assumption: $\Lambda = 0$.

Minkowski spacetime is asymptotically simple.

Its line element can be written as

$$\tilde{g}_{\text{mink}} = -d\tau^2 + dr^2 + r^2 d\Omega_2.$$

Introduce new coordinates $u := \arctan\left(\frac{\tau-r}{\sqrt{2}}\right)$ and $v := \arctan\left(\frac{\tau+r}{\sqrt{2}}\right)$,

$$\tilde{g}_{\text{mink}} = \frac{1}{\cos^2 u \cos^2 v} \left(-2du dv + \frac{1}{2} \sin^2(v-u) d\Omega_2 \right).$$

Set $\Theta = \cos u \cos v$. Then

$$g_{\text{mink}} = \Theta^2 \tilde{g}_{\text{mink}} = -2du dv + \frac{1}{2} \sin^2(v-u) d\Omega_2, \quad u, v \in [-\pi/2, \pi/2], \quad v \geq u,$$

with **attached conformal boundary** $\mathcal{I}^- \cup \mathcal{I}^+ \cup \{i^-\} \cup \{i^+\} \cup \{i^0\}$

- $\mathcal{I}^- = \{u = -\pi/2, v \in (-\pi/2, \pi/2)\}$
- $\mathcal{I}^+ = \{v = +\pi/2, u \in (-\pi/2, \pi/2)\}$
- **past and future timelike infinity** $i^\pm = \{u = v = \pm\pi/2\}$
- **spatial infinity** $i^0 = \{v = -u = \pi/2\}$

Asymptotic characteristic initial value problem

Construction of $\Lambda = 0$ -vacuum spacetimes with smooth \mathcal{I}^- (from data prescribed on \mathcal{I}^-):

- Consider an incoming null hypersurface \mathcal{H} which intersects \mathcal{I}^- in a smooth spherical cross-section S .
- CFE split into constraint and evolution equations.
- Given appropriate free “seed” data all the remaining data can be computed by solving algebraic equations and ODEs.
- In **adapted null coordinates** (u, r, x^A) : $[g_{AB}dx^A dx^B]$ on \mathcal{H} and the **radiation field** W_{rArB} on \mathcal{I}^- (supplemented by certain data on S).

Theorem (Kánnár, 1996)

Local existence holds to the future of $\mathcal{H} \cup \mathcal{I}^-$ near S .

Remark: Use local existence result for symmetric hyperbolic systems for such a characteristic initial value problem [Rendall '90].

Purely radiative spacetimes

Consider a spacetime which is generated solely by gravitational radiation coming in from \mathcal{I}^- and interacting with itself, with no information coming in from i^- :

- One stipulates the spacetime to be **smoothly extendable through both \mathcal{I}^- and i^-** , and that \mathcal{I}^- is the future light-cone C_{i^-} of i^- .
- \rightsquigarrow Consider an asymptotic initial value problem with data on C_{i^-} .
- As free data one identifies the **radiation field** $W_{AB} := W_{rArB}|_{\mathcal{I}^-}$ (subject to certain regularity conditions at i^-).

Theorem (Chruściel & P., 2013)

Local existence holds to the future of C_{i^-} near i^- supposing that the radiation field admits an extension to a smooth spacetime tensor field.

- Use a representation of the CFE as a system of wave equations [P. '13].
- Make sure that the solutions of the constraint equations are restrictions to C_{i^-} of smooth spacetime fields (via approximate solutions [Friedrich '13]).
- Apply local existence result for wave equations for such an initial value problem [Dossa '03].

Hyperboloidal Cauchy problem

- The results discussed so far give no insight how generic spacetimes with a smooth \mathcal{S} are.
- \rightsquigarrow Need to study Cauchy problems with data prescribed on “ordinary” hypersurfaces.

To avoid difficulties at i^0 consider the **hyperboloidal Cauchy problem**, where Cauchy data are given on a spacelike hypersurface which intersects \mathcal{S}^+ in a 2-sphere S .

- Cauchy data $(\tilde{\Sigma}, \tilde{h}, \tilde{K})$ satisfy the vacuum constraints

$$R[\tilde{h}] - |\tilde{K}|^2 + \tilde{K}^2 = 0, \quad \nabla_j^{(\tilde{h})} \tilde{K}_i^j - \nabla_i^{(\tilde{h})} \tilde{K} = 0,$$

supplemented by certain topological and asymptotic conditions.

Theorem (Friedrich, 1983)

For such **hyperboloidal Cauchy data** local existence holds and the emerging spacetime admits a piece of a smooth \mathcal{S}^+ , supposing that the relevant data are **smoothly extendable through S** .

Hyperboloidal Cauchy problem

Theorem (Friedrich, 1986)

Consider hyperboloidal Cauchy data which are *smoothly extendable through S* and sufficiently close to hyperboloidal Minkowskian data. Then the emerging spacetime is future asymptotically simple and admits a smooth i^+ .

Remarks:

- The CFE force the null geodesic generator of \mathcal{I}^+ to meet in one regular point.
- One shows $\exists p \in \gamma$ on a null geodesic γ on the Cauchy horizon where $d\Theta = 0$ with $s \neq 0$.
- Then it follows from the CFE

$$\nabla_\mu \nabla_\nu \Theta = -\Theta L_{\mu\nu} + sg_{\mu\nu}$$

that p is an isolated critical point for Θ .

- Generalization to permit larger class of reference spacetimes [Lübbe & Kroon, 2011]

Friedrich's result permits the *construction of a large class of asymptotically simple spacetimes* from Cauchy data which are stationary (e.g. Schwarzschild) near spatial infinity [Chruściel & Delay '02, '03].

Remark: Stationary, asympt. Euclidean spacetimes are weakly asymptotically simple [Dain, '01].

Characteristic Cauchy problem

Consider a characteristic Cauchy problem on a future light-cone C_O of some point $O \in \tilde{\mathcal{M}}$ (alternatively, on two transversally intersecting null hypersurfaces):

- **Seed data** (in (\tilde{M}, \tilde{g})) in adapted null coordinates (u, r, x^A) : Conformal class $[\tilde{g}_{AB} dx^A dx^B]$, which is a one-parameter family of Riemannian metrics on S^2 , sufficiently well-behaved at the vertex [Chruściel, 2014].
- Local existence holds to the future of C_O near O [Choquet-Bruhat, Chruściel & Martin-Garcia, 2011].
- Local existence holds to the future of C_O (supposing that the characteristic constraint equations admit a solution) [Luk, 2012].
- Obstruction provided by the Raychaudhuri equation.

Theorem (Cabet, Chruściel & Tagne Wafo, 2016)

*Consider smooth data for the CFE in (\mathcal{M}, g) on a light-cone C_O which admit a **smooth expansion through the 2-sphere S where $\Theta|_{C_O}$ vanishes**. Then local existence holds and the emerging spacetime admits a piece of a smooth \mathcal{I}^+ .*

Question for both types of initial value problems:

Do seed data which are smooth at S produce data for the CFE which are smooth at S ?

Characteristic Cauchy problem

- Consider smooth data $\tilde{\gamma}_{AB} \in [\tilde{g}_{AB}]$ on C_0 which admit an expansion of the form

$$\tilde{\gamma}_{AB} \sim r^2 \left(s_{AB} + \sum_{n=1}^{\infty} h_{AB}^{(n)} r^{-n} \right).$$

- Necessary in spacetimes which admit a smooth \mathcal{I} .
- Solve Raychaudhuri equation for the **expansion** $\tilde{\tau}$ ($\tilde{g}_{AB} = e^{\int \tilde{\tau}} \tilde{\gamma}_{AB}$)

$$(\partial_r - \tilde{\kappa})\tilde{\tau} + \frac{1}{2}\tilde{\tau}^2 + |\tilde{\sigma}|^2(\tilde{\gamma}) = 0, \quad \tilde{\kappa} = O(r^{-3}).$$

- Solution satisfies

$$\tilde{\tau} = 2r^{-1} + \tilde{\tau}_2 r^{-2} + O(r^{-3}).$$

- No-logs-condition** [Chruściel & P. '15] [P. '15]

$$(h_{AB}^{(2)})_{\text{tf}} - \frac{1}{2} \left(\text{tr} h^{(1)} + \tilde{\tau}_2 \right) (h_{AB}^{(1)})_{\text{tf}} = 0.$$

- The no-logs conditions holds if and only if the Weyl tensor vanishes at S .

Smoothness of \mathcal{I}

Theorem (Andersson, Chruściel & Friedrich '92, Andersson & Chruściel '93; Chruściel & P. '15, P. '15)

- (a) *Generically, solutions of the constraint equations constructed from smooth “seed” data for*
 - (i) *the hyperboloidal, and*
 - (ii) *the characteristic Cauchy problem**are polyhomogeneous rather than smooth at S .*
- (b) *There exists a large class of “non-generic” data which admit a smooth conformal completion.*

Consequence:

Mild regularity conditions on the asymptotic behavior of the seed data are sufficient to guarantee at least a piece of a smooth \mathcal{I} .

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Spatial infinity as a point

- So far spatial infinity i^0 has been excluded from the considerations.
 - Ultimately one would like to construct asymptotically simple spacetimes from asymptotically Euclidean Cauchy data sets.
 - **Issue:** If $m_{ADM} \neq 0$ spatial infinity i^0 cannot be a regular point.
- Representation of spatial infinity as a point too compressed.

Alternative conformal transformation of Minkowski

Consider again the Minkowski line element

$$\tilde{g}_{\text{mink}} = -(dy^0)^2 + (dy^1)^2 + (dy^2)^2 + (dy^3)^2.$$

Introduce new coordinates: First, set

$$x^\mu := \frac{y^\mu}{y^\nu y_\nu}, \quad y^\nu y_\nu > 0,$$

and then

$$R := \sqrt{x^i x_i}, \quad \tau := x^0 / \sqrt{x^i x_i}, \quad i = 1, 2, 3.$$

The Minkowski metric can be written in the form $\tilde{g}_{\text{mink}} = \Theta^{-2} g_{\text{mink}}$, where

$$\Theta = R(1 - \tau^2),$$

and

$$g_{\text{mink}} = -d\tau^2 - 2\frac{\tau}{R}d\tau dR + \frac{1 - \tau^2}{R^2}dR^2 + d\Omega_2, \quad |\tau| < 1, \quad R > 0.$$

- $\mathcal{I}^\pm = \{\tau = \pm 1, R > 0\}$,
- spatial infinity $I = \{|\tau| < 1, R = 0\} \cong (-1, 1) \times S^2$,
- the “critical sets” $I^\pm = \{\tau = \pm 1, R = 0\} \cong S^2$.

⇒ Cylinder-representation of spatial infinity [Friedrich, 1998]

General conformal field equations

Introduce additional gauge degrees of freedom:

- orthonormal frame field e_a , i.e. $g(e_a, e_b) = \delta_{ab}$
- Weyl connection $\widehat{\nabla}$ (satisfies $\widehat{\nabla}_\alpha g_{\mu\nu} = -2b_\alpha g_{\mu\nu}$ for a 1-form b)

As **unknowns** one regards $e^\mu{}_a$, $\widehat{\Gamma}_a{}^b{}_c$, \widehat{L}_{ab} , and $W^a{}_{bcd}$.

The **general conformal field equations (GCFE)** read

$$\begin{aligned} [e_a, e_b] &= 2\widehat{\Gamma}_{[a}{}^c{}_{b]}e_c, \\ e_{[a}(\widehat{\Gamma}_{b]}{}^i{}_{j}) - \widehat{\Gamma}_k{}^i{}_{j}\widehat{\Gamma}_{[a}{}^k{}_{b]} + \widehat{\Gamma}_{[a}{}^i{}_{|k|}\widehat{\Gamma}_{b]}{}^k{}_{j} &= \delta_{[a}{}^i\widehat{L}_{b]j} - \delta_j{}^i\widehat{L}_{[ab]} - \delta_{j[a}\widehat{L}_{b]}{}^i + \frac{\Theta}{2}W^i{}_{jab}, \\ 2\widehat{\nabla}_{[a}\widehat{L}_{b]c} &= d_e W^e{}_{cab}, \\ \widehat{\nabla}_e W^e{}_{cab} &= \frac{1}{4}\widehat{\Gamma}_e{}^f{}_f W^e{}_{cab}. \end{aligned}$$

where $d_a := \Theta b_a + \widehat{\nabla}_a \Theta$.

Conformal Gauß gauge

A **conformal geodesic** is a curve in (\mathcal{M}, g) such that \exists 1-form b such that

$$\begin{aligned}\nabla_{\dot{x}}\dot{x} + \langle \dot{x}, b \rangle \dot{x} - g(\dot{x}, \dot{x})g^b(b, \cdot) &= 0, \\ \nabla_{\dot{x}}b - \langle \dot{x}, b \rangle b + \frac{1}{2}g^b(b, b)g(\dot{x}, \cdot) &= L(\dot{x}, \cdot).\end{aligned}$$

- Given data (x_*, \dot{x}_*, b_*) there exists a unique conformal geodesic $(x(\tau), b(\tau))$ near x_* .
- The sign of $g(\dot{x}, \dot{x})$ is preserved along a conformal geodesic.

Construct a gauge adapted to a **congruence of (timelike) conformal geodesics** intersecting an initial surface Σ transversally.

Gauge conditions:

$$\widehat{\nabla}_{\dot{x}}g = 0, \quad \widehat{\nabla}_{\dot{x}}e_a = 0,$$

supplemented by certain gauge data on Σ , in particular $\Theta|_{\Sigma}$.

Lemma (Friedrich, 1995)

$$\widehat{\nabla}_{\dot{x}}\widehat{\nabla}_{\dot{x}}\widehat{\nabla}_{\dot{x}}\Theta = 0.$$

- In the conformal Gauß gauge the location of the conformal boundary is a priori known.

Regular initial value problem at spatial infinity

This setting allows the formulation of a **regular initial value problem at spatial infinity** for appropriate Euclidean data $(\tilde{\Sigma}, \tilde{h}, \tilde{K})$ [Friedrich, 1998], [Dain & Friedrich, 2001].

GCFE imply a symmetric hyperbolic system of evolution equations

Main issue: Hyperbolicity breaks down at I^\pm .

GCFE imply inner equations on the cylinder I which can be analyzed.

- Generically the solutions are polyhomogenous at I^\pm [Friedrich, 1998] [Kroon, 2008].
- It is expected that appropriate regularity conditions at I^0 ensure smoothness at I^\pm .
- For time symmetric data which are conformally flat near I^0 the emerging spacetime is smooth at I^\pm if and only if the data are Schwarzschildian near I^0 [Kroon, 2009].

Open issue: Does smoothness at I^\pm imply smoothness of \mathcal{I}^\pm ?

Conclusions

- ① CFE permit the construction of $\Lambda \geq 0$ -vacuum spacetimes which admit at least a piece of a smooth \mathcal{I} (and in some situations even more).
- ② For $\Lambda > 0$ asymptotic simplicity seems to be a very reasonable notion.
- ③ For $\Lambda = 0$ a smooth \mathcal{I} arises from hyperboloidal or characteristic Cauchy problem only if certain regularity conditions are satisfied.
- ④ To get a full understanding of the compatibility of asymptotic simplicity with Einstein's field equations a better understanding of spatial infinity is essential, in particular of the critical sets I^\pm .

Thank you!

